HORSEMEN'S GUIDE







AT PENN NATIONAL RACE COURSE ----

777 Hollywood Blvd ★ Grantville, PA 17028

On behalf of the Hollywood Casino at Penn National Race Course (HCPNRC) I want to welcome you and look forward to your participation in our racing program throughout the year. This Horsemen's Guide contains core policies that are in place at all racetracks owned and operated by Penn National Gaming, Inc., and also details the local rules and regulations currently in effect for racing participations at HCPNRC. These policies are in place to ensure a fair and competitive racing product and to encourage all racing participants to exhibit the highest level of integrity at all times.

The HCPNRC racing program has plenty of momentum going into 2017 and continues to build on the success of the Penn Mile. In just two short years, the Penn Mile has earned Grade 3 status and produced Breeder's Cup winner, Bobby's Kitten. Penn Mile Day returns again this year on June 4, and our stakes program in 2017 will once again be part of 20 race, \$5 million dollar Penn National Racing Challenge, incorporating major stakes races at Hollywood Casino at Charles Town Races, Sam Houston Race Park, Zia Park Racetrack and Casino and HCPNRC.

HCPNRC is well positioned for racing going into the future. Our new, comfortable, climate controlled racing building is fully integrated with our full service casino and we have options and amenities for everyone to enjoy a night at the races. Our year-round racing schedule, competitive purse structure and central location in the mid-Atlantic region make HCPNRC an attractive option for any serious racing fan or horsemen.

I want to thank you again for your continued support of the HCPNRC racing program and we hope to see you in the Winner's Circle in 2017!

Eric Johnston Director of Racing Operations

PENN NATIONAL GAMING, INC.

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This 2017 horse racing guide shall be supplemented with the rules and policies of the local racing guide for Hollywood Casino At Penn National Race Course

PENN NATIONAL GAMING

A LEADER IN RACING

Penn National Gaming, Inc. ("PNGI" or the "Company") through its subsidiaries as of September 30, 2016, owns, operates or has ownership interests in twenty-six gaming and racing facilities in seventeen jurisdictions, including California, Florida, Illinois, Indiana, Kansas, Maine, Massachusetts, Mississippi, Missouri, Nevada, New Jersey, New Mexico, Ohio, Pennsylvania, Texas, West Virginia, and Ontario. As of September 30, 2016, in aggregate, Penn National Gaming's operated facilities featured approximately 33,100 gaming machines, 799 table games and 4,500 hotel rooms.

The Company's headquarters are located in Wyomissing, Pennsylvania.

OUR TRADITION OF LIVE RACING

The roots, and name, of Penn National Gaming trace to a racetrack in Grantville, Pennsylvania. Starting in 1972 with Penn National Race Course, the Company has now grown into not only one of the nation's top gaming companies, but the largest owner of pari-mutuel facilities in North America. The Company conducts nearly 1,200 live racing dates annually and processes over \$1 billion in pari-mutuel handle on its races or at its facilities annually.

PNGI has full or joint venture ownership in 11 racetracks, including Thoroughbred Racing at Hollywood Gaming at Mahoning Valley Race Course (Austintown, OH); Zia Park Casino, Hotel & Racetrack (Hobbs, NM); Hollywood Casino at Penn National Race Course (Grantville, PA); Hollywood Casino at Charles Town Races (Charles Town, WV) and Sam Houston Race Park (Houston, TX). Standardbred Racing is conducted at Freehold Raceway (Freehold, NJ); Hollywood Casino, Hotel & Raceway (Bangor, ME); Hollywood Gaming at Dayton Raceway (Dayton, OH) and Plainridge Park Casino (Plainville, MA.) Sanford Orlando Kennel Club (Longwood, FL) and Valley Race Park (Harlingen, TX) host Greyhound Racing. In addition to live racing, year-round simulcasting from racetracks around the country, and the world, are offered at all of these facilities.

PNGI operates or jointly operates five Off-Track Wagering facilities: two in Pennsylvania, two in New Jersey and one inside our Bangor, Maine casino. PNGI also operates the national account wagering site Hollywoodraces.com which offers legal pari-mutuel wagering via the internet, mobile app and telephone in over 20 states.

MAJOR RACES & EVENTS

Offering quality race meets and presenting marquee races is the mission for PNGI's racing division. PNGI's racing profile has increased dramatically in recent years, highlighted by the Grade 2, \$1.25 million Charles Town Classic, the richest thoroughbred dirt race in the United States for older horses outside of the Breeders' Cup Classic and Pegasus World Cup. Charles Town is also host to the Grade 3, \$300,000 Charles Town Oaks.

Other major racing events hosted by Company racetracks include the \$500,000 Grade 2 PENN Mile and \$200,000 Fabulous Strike Handicap (Penn National Race Course); the \$400,000 Houston Ladies Classic and \$250,000 John Connally Stake, both Grade 3 races (Sam Houston Race Park); \$2 million New Mexico Breeder's Championships and \$1 million Land of Enchantment Day (Zia Park.); \$200,000 Steel Valley Sprint (Mahoning Valley); and the \$150,000 Dayton Trotting Derby and \$160,000 Dayton Pacing Derby (Dayton Raceway.)

INTRODUCTION TO THE GUIDE

The public reputation and goodwill of Penn National Gaming, Inc. ("PNGI"), as well as each of the race tracks it owns or operates (collectively and individually referred to herein as the "Racetrack"), are valuable assets vital to our success. As members of the pari-mutuel wagering and gaming communities, it is necessary for all of us to adhere to the industry's highest standards of integrity in order to ensure both positive public perception and maintain the licenses and regulatory privileges of PNGI and the Racetrack.

This Horse Racing Guide (the "Guide") applies to all personnel associated with the Racetrack, including all persons who hold valid and current racing licenses or who are otherwise permitted on the Racetrack's privately owned property, including, but not limited to, owners, racing officials directly employed by the Racetrack (excluding employees of Racing Commissions or other government regulatory bodies), trainers, trainers' agents, grooms, veterinarians, vendors, pony people, outriders, independent contractors, jockeys, jockeys' agents, drivers, guests, other agents, and anyone else with a racing license or permit (collectively referred to herein as the "Racing Participants").

Racing Participants shall comply with all rules and policies within the Guide. Failure to abide by the rules and policies within the Guide may result in sanctions being imposed on a Racing Participant, including but not limited to loss of stabling and/or racing privileges, ejection, eviction or barring from the all premises of the Racetrack. A Racing Participant's violation of the Guide at any one PNGI's Racetrack, may be considered by PNGI, in its sole discretion, as a violation applicable to all other PNGI Racetracks, and such Racing Participant may face sanctions at all PNGI Racetracks.

The Guide covers a wide range of actions designed to foster integrity and honesty among all Racing Participants. It does not cover every issue that may arise, but rather sets out basic principles of behavior for all Racing Participants. Dishonest or illegal conduct will constitute a violation regardless of whether the conduct is specifically addressed in the Guide. In order to maintain the privilege to enter and conduct business on the Racetrack grounds, Racing Participants must be familiar with and comply with the Guide as well as all applicable laws and regulatory requirements.

No guide or code of conduct can replace the thoughtful behavior of someone conducting their business with a high level of integrity. Thus, in addition to the Guide, all Racing Participants are also expected to conform to the racing industry's highest standards of professional and ethical conduct. Any decision to act, or not to act, against a person covered by this Guide is at the sole discretion of the Racetrack and based on the information and circumstances of each individual occurrence.

While we expect to periodically give notice relative to updates to the Guide and other matters, because of the fast-paced and highly-regulated nature of our business, the Racetrack reserves the right to alter or amend this Guide and any or all of its rules and policies, at any time, and from time to time, at its or PNGI's sole discretion. The Guide is widely distributed in the public areas accessible to Racing Participants as well as on the websites of every Racetrack. We will make reasonable efforts to communicate any such change; however, it is incumbent upon the Racing Participants to make themselves familiar with the contents of the Guide and to be aware of any changes, updates or modifications to this Guide.

PART 1 – GENERAL

1.1 STANDARDS OF CONDUCT

A. General

All persons covered by the Guide shall avoid conduct that is detrimental to the integrity of, and public confidence in, the pari-mutuel wagering and gaming community. Ethical and responsible conduct serves the interests of the Racetrack, the Racing Participants, and the racing industry as a whole. Illegal or irresponsible conduct does more than simply tarnish the offender. It can put innocent persons at risk, damage the reputation of others, and undermine the public's respect and support for the racing industry.

In the normal course of our business, the Racetrack may require Racing Participants to complete and sign various forms, and provide other information as required or requested, prior to being granted access to or continuing with racing privileges at the Racetrack. Racing Participants are expected to fully cooperate at all times in these processes.

B. Dishonest, Offensive or Illegal Conduct

While criminal activity is clearly outside the scope of permissible conduct, and persons who engage in criminal activity will be subject to the appropriate legal actions, the standards of behavior for Racing Participants are considerably higher than merely avoiding conviction of a crime. Instead, persons must conduct themselves in a way that is not only "lawful," but that also promotes a high degree of integrity within the industry. Persons who fail to live up to this standard are subject to sanctions by the Racetrack.

By way of example only, and not intended as an exclusive list, the following activities are prohibited (whether within or outside of the Racetrack grounds):

- Criminal offenses of any kind;
- Violent or threatening behavior;
- Conduct that creates a negative public perception of PNGI or the Racetrack;

- Conduct that undermines or puts at risk the integrity and reputation of the pari-mutuel wagering and gaming industry in general;
- Violation of the Racetrack's safety policies or rules;
- Failure to comply with the lawful directions of authorized Racetrack representatives; and
- Misrepresentations in any application or form or other disclosure statements made to the Racetrack or to any government regulatory body.

C. Racing Misconduct

Any person(s) involved in entering or scratching horses that Racetrack reasonably believes is not in good faith will be in violation of the Guide. Examples of this conduct include, but are not limited to:

- Entering a race without the intention of racing;
- Entering a horse into a race or causing a horse to be entered into a race for the benefit of another;
- Frequent scratching of horses entered to race; and
- Knowingly receiving a horse through a transfer, or acting as a "program trainer," from/or for individuals not permitted on Racetrack's property or not properly licensed by the appropriate regulatory body.
- i. In such cases, a trainer or other individual associated with horse may be required to provide written documentation evidencing such individual is the actual trainer, or that a legitimate transfer has taken place among the former trainer or owner, wholly separated from any matters involving such horse. Documentation that may be required includes, but is not limited to, bank

- records, checks, receipts or signed affidavits. This requirement is over and above any requirements that may be placed on an individual by a regulatory body and the legitimacy of the transfer in question will be determined in the Racetrack's sole discretion.
- ii. Unless Racetrack receives documentation and evidence to its satisfaction that such a complete separation between current and former trainer exists, Racetrack reserves the right to restrict the entering of horses, or entry of horses to the grounds of Racetrack that (1) made their most recent start within 90 days; and/or (2) made their most recent start in the name of a Racing Participant who would not be permitted to participate in racing at Racetrack, whether due to action taken by Racetrack, a violation of this Guide, or based on violation of rules and regulations in the applicable jurisdiction.
- iii. In the event an individual is serving a suspension from a recognized jurisdiction, Racetrack reserves the right to restrict entries from individuals who are closely associated or related to the suspended individual or such individuals who worked directly for the suspended individual unless Racetrack has determined otherwise.

D. Medications and Related Issues

In the event of a positive test received in a recognized jurisdiction by a Racing Participant, or a horse under the care of a Racing Participant, Racetrack reserves the right to impose sanctions as contained herein.

A "positive test" is defined herein as the presence of a prohibited medication, or a medication in a horse in excess of allowable amounts as stated in the applicable rules and regulations of a recognized jurisdiction, the subsequent confirmation of such an excess by a split sample (if conducted) and the documented verification of such an excess by a recognized jurisdiction's horse racing regulatory authority; provided however that when a split sample is not requested, the original test indicating the presence of medication in excess of allowable amounts as stated in the applicable rules and regulations of a recognized jurisdiction shall constitute a "positive test." Racetrack understands that positive tests occur with medications that are classified as to their pharmacological impact and its impact on the ability to affect the performance of a horse or the outcome of a race. However, a trainer whose horse in such trainer's care and custody receives a positive test for a Class 1 or Class 2 medication (as determined by the Association of Racing Commissioners International, "ARCI"), or a medication not permitted by the Food and Drug Administration (FDA) in a recognized jurisdiction may be sanctioned by the Racetrack, upon receipt of reasonable information acknowledging a positive test.

The Racetrack reserves the right to restrict entry in any race at any PNGI Racetrack to a horse that has received a positive test for a Class 1 or Class 2 medication for a minimum period of 30 days from the date Racetrack receives proper notification of a positive test and may be required to vacate the grounds of the Racetrack. All horses in the care of a trainer receiving a Class 1 or Class 2 positive test, or receiving a Category A penalty under ARCI, may also be requested to vacate the Racetrack grounds for a period of time as determined by the Racetrack. An Owner whose horse(s) receives a positive test for a Class 1 or Class 2 medication(s), or a Category A penalty with two (2) different trainers in any recognized jurisdiction may be sanctioned by the Racetrack, upon proper notification of the positive test(s) and/or penalties. Additionally, the Racetrack may sanction an owner following the receipt of the first positive test for a Class 1 or Class 2 medication on any of Owner's horse(s) in a recognized jurisdiction. For purposes of this provision, any individual or corporation will be deemed an owner of a horse if their percentage of ownership requires them to be licensed in the jurisdiction where the positive test occurred.

A trainer, an individual horse and/or the owner of an individual horse that receives multiple positive tests, without regard for the classification of the medication, may be sanctioned by the Racetrack. In making such a determination, the Racetrack may

consider the frequency and time span encompassing the period of positive tests; the classification of the medication(s) for the positive tests; the nature and severity of the medication involved in such positive tests; extenuating circumstances regarding the positive tests, and; any other previous conduct by the trainer and/or owner that Racetrack deems relevant in making such a determination regarding such positive tests.

Any adjudication process undertaken by a trainer, owner or individual associated with a horse receiving a positive test(s) shall not be a determining factor upon the Racetrack with regard to any independent action or sanctions imposed by the Racetrack.

With the exception of licensed veterinarians permitted to practice on Racetrack grounds, the possession of hypodermic needles, syringes and medications contrary to any applicable regulation is prohibited and may result in sanctions by the Racetrack. Racetrack may take any action under this section for any violation of Prohibited Practices Section of ARCI's "Uniform Classification Guidelines for Foreign Substances and Recommend Penalties and Model Rule" as amended from time to time. Such Prohibited Practices include, but not limited to at this time, possession or use of Cobalt, Erythropoietin (EPO), Darbepoietin, Oxyglobin, Hemopure, and Demorphin or any other drug, substance or medication not approved by the United States Food and Drug Administration (FDA) for use in the United States.

E. Illegal Drugs/Alcohol

The use, distribution or possession of illegal drugs is not permitted at any time on grounds of the Racetrack. For the purposes of this rule, an illegal drug is any drug which is not legally obtainable, or one which is legally obtainable but has not been legally obtained, including prescription drugs not legally obtained and prescription drugs that were prescribed for someone else. Alcohol is not permitted within any Racetrack backstretch area, or in any barns or dormitories located on Racetrack property.

F. No Solicitation Policy

There is a no solicitation policy at the Racetrack, which includes, but is not limited to, circulation of petitions, political flyers, or distribution of literature not approved in advance in writing by the Racetrack. Signs identifying stables and trainers and vendors must be approved and in writing by the Racing Secretary's Office or appropriate Racetrack Manager.

G. Mandatory Mortality Reviews

In the event a horse suffers a catastrophic injury or sudden death either during the course of a live race, during training hours or otherwise while on the grounds of the Racetrack, Racetrack may require the trainer, and/or any other individual associated with the training, racing, care or custody of such horse to participate in a mortality review meeting with Racetrack. Such review meetings shall be scheduled as soon as practical after such incidents occur.

Furthermore, it is the responsibility of the trainer, or veterinarian for trainer, to report the death of any horse under their care or custody, regardless of reasons or circumstances, within eight (8) hours to the proper Racetrack racing official. No horse may be removed from the Racetrack grounds without such notification and authorization for removal by Racetrack. Racetrack reserves the right to require, at cost of trainer/owner of such horses, a necropsy, examination and removal of any horse that is euthanized or dies, for any reason, on the grounds of Racetrack.

H. Animal Welfare

Any Racing Participant covered by this Guide who handles or treats any animal without regard for the well being of the animal or causes physical injury or pain or suffering to the animal, including excessive or unnecessary training or whipping, as determined in the sole discretion of Racetrack, may be sanctioned. Except as

required by statute or regulation, it is the sole responsibility of the trainer to ensure that a licensed and competent veterinarian is available at all times to ensure the health and welfare of such trainer's horses and to attend to trainer's horses at all times such horses are on the grounds of Racetrack in any and all emergency situations in which a regulatory or Racetrack veterinarian is not required to be present or to respond to a trainer's horse(s.).

In the event Racetrack receives credible and verifiable information regarding a Racing Participant who knowingly, or without conducting proper due diligence, buys or sells a horse for slaughter, directly or indirectly, such individual(s) may be sanctioned by the Racetrack. The Racetrack highly encourages Racing Participants to obtain proper written documentation on the sale or transfer of any horse that they previously raced or was stabled on the grounds of Racetrack. The Racetrack reserves the right to require trainer or individuals responsible for a horse to provide such documentation.

I. Treatment of Racetrack Staff/Non-Disparagement

All persons covered by the Guide are required to afford the Racetrack's staff the highest level of professional courtesy and treatment. Racing Participants agree to fully cooperate in providing information pursuant to any investigation by Racetrack or its agents.

Any verbal or physical mistreatment or inappropriate or disrespectful conduct toward the Racetrack's staff will be a violation of required standards.

It shall be a violation of standards for any person covered by the Guide to disparage PNGI or the Racetrack, or any of their affiliates, employees, staff or personnel, in any manner by any type of medium. This includes, but is not limited to, disparagement by use of the internet, e-mail, and via any social media such as blogs, Facebook, etc.

J. Past Conduct and/or Sanctions

Past conduct and/or sanctions having occurred at other PNGI Racetracks, in other recognized jurisdictions or at other facilities may be considered when evaluating the fitness of an individual covered by the Guide to conduct business on any PNGI Racetrack property. Such evaluations and decisions will be at the sole discretion of PNGI and the Racetrack.

1.2 REPORTING GUIDELINES AND WHISTLEBLOWER PROTECTION

Individuals may, in good faith, report violations or suspected violations of the Guide via written submission made in a timely manner to the PNGI Corporate Vice President of Racing (c/o PNGI's corporate office at 825 Berkshire Blvd., Wyomissing, PA 19610) or the Racetrack's Director of Racing. There will be no retaliation of any kind against any person for good faith reporting of a suspected violation. Any person who feels that they have been retaliated against shall report it as set forth above. Any person who retaliates against someone who in good faith has reported a suspected violation is subject to sanctions by the Racetrack.

1.3 ACTING IN GOOD FAITH

Anyone filing a report or complaint concerning a violation or suspected violation of the Guide must act in good faith and have reasonable grounds for believing the information disclosed indicates a violation. Any allegations that prove not to be substantiated and which prove to have been made maliciously or were known to be false when made will be viewed as a serious violation of the principles contained in this Guide.

1.4 RACETRACK AS SOLE ARBITER

As property owner responsible for the integrity of racing at its locations the Racetrack is the sole and ultimate arbiter in interpreting and enforcing provisions of this Guide and the Local Racing Guide of the respective Racetrack.

1.5 RACETRACK AS A PRIVATE ACTOR

While Racetrack may consider violations of racing rules in recognized jurisdictions and/or results of medication testing performed by a recognized jurisdiction when exercising its rights against individuals violating provisions of this Guide, Racetrack is a wholly separate and private entity from any state agency or government regulatory body and Racetrack at all times acts independently from any such agencies or regulatory bodies with respect to all persons covered by the Guide.

As Racetrack is duty bound to uphold the law, no efforts by Racetrack to do so shall be construed as Racetrack operating as an instrument of the state.

1.6 INSURANCE

All persons holding a racing license or permit as a trainer may be required to have workmen's compensation insurance as required by the Racetrack and/or recognized jurisdictional authority or Racing Commission. Trainers shall, upon request by Racetrack or recognized Racing Commission or jurisdictional authority, provide a workmen's compensation certificate and any other documentation Racetrack, Racing Commission or jurisdictional authority may reasonably request evidencing that the foregoing insurance is in effect.

Racetracks may require trainers, on behalf of themselves, and their agents and employees, as well as any other independent contractors working on the grounds of the Racetrack, to maintain comprehensive general liability insurance in a minimum amount proscribed in the Local Racing Guide, which is intended to indemnify the Racetrack, and its directors, officers, employees, landlords and agents from any and all liability arising from a trainer's actions. If such general liability insurance is mandated in the Local Racing Guide, the trainer, upon request by Racetrack, shall provide a certificate of insurance and any other documentation Racetrack may reasonably request evidencing that the foregoing insurance is in effect.

Vendors operating or regularly conducting business on Racetrack grounds may be required to show proof of insurance in such amounts as required by Racetrack.

Any vehicle operated on the Racetrack grounds or in the Racetrack stable area must be properly registered with Racetrack and proof of proper insurance will be required upon request. No person shall operate any vehicle on the grounds of Racetrack without a valid driver's license.

Racetrack reserves the right, at any time, to require Racing Participants to show proof of any appropriate insurance coverage as it deems necessary.

1.7 SURVEILLANCE/INSPECTION

The racing industry is highly-regulated and requires significant oversight including security- related measures. As a result, surveillance and security inspection measures are in use within the Racetrack premises. All individuals entering the grounds at the Racetrack consent to all surveillance and/or inspection measures employed by the Racetrack for both individuals and horses.

The Racetrack may require horses to be placed in a pre-race surveillance program and/or specified secured areas prior to the post time of the race in which they are entered under terms, conditions, policies and procedures prescribed from time to time by the Racetrack.

Racetrack reserves the right to inspect any vehicle entering, exiting or parked on the grounds of the Racetrack as well as any piece of equipment brought onto the grounds of Racetrack by any individual. Failure to allow inspections under this section may result in sanctions by the Racetrack.

A trainer and his/her veterinarian are required to ensure that there will be no treatment or administration given to a horse (other than for a documented emergency situation), or medications administered to a horse, on race day, other than the administration of approved race day medication(s) in the respective jurisdiction of Racetrack. Racetrack reserves the right to impose additional requirements for horses scheduled to race,

including but not limited to restricting access to horse's stall on race day for purposes other than feeding, general grooming and administration of permissible race day medication(s) of such horse as well as emergency veterinary care.

Responsibility and costs for any horse in any surveillance program will remain solely with the horse's trainer. In no event will Racetrack assume responsibility or liability for such horse(s).

1.8 ASSUMPTION OF RISKS

All persons covered by this Guide acknowledge horses, horse riding and racing, horse training and practicing, caring for horses and all other equestrian related activities can be extremely dangerous and routinely involve risk of serious injury, death and/or property damage.

By participating in our industry and choosing to enter the Racetrack premises voluntarily, Racing Participant has and does hereby assume all of the above risks, and releases Racetrack, on the Racing Participant's behalf and on behalf of all their family members, and will hold Racetrack harmless from any and all liability, actions, causes of actions, debts, claims and demands of every kind and nature whatsoever which Racing Participant now has or which may arise out of or in connection with his/her participation in those activities and risks.

PART 2 – RESULT OF GUIDE VIOLATIONS

2.1 IMPOSITION OF SANCTIONS

A violation of any of the rules and policies set forth in this Guide and/or Racetrack's Local Guide may lead to the imposition of sanctions against Racing Participants. Subject to applicable regulatory requirements, sanctions shall be administered in the sole discretion of the Racetrack, including but not limited to:

 temporary suspension or permanent loss of privileges, including loss of stabling and/or racing privileges at Racetrack;

- ii. loss or refund of any or all nomination, declaration and entry fees;
- iii. being barred from all Racetrack and PNGI's Racetrack premises;
- iv. any other remedy available by law.

Any sanction which involves a Racing Participant's privileges being suspended or lost, or a Racing Participant being barred from premises, may involve such loss, suspension or barring from any or all of the PNGI Racetracks, as determined in the sole discretion of PNGI and or Racetrack. Subject to Section 2.2 below, the sanctions as set forth by Racetrack for any violation of the rules and policies are final.

2.2 OPPORTUNITY FOR RECONSIDERATION

In cases where Racetrack has barred a Racing Participant from the premises for a period of more than two (2) years, such person subject to such action may seek review of the decision by written request directed to the PNGI Corporate Vice President of Racing or the Director of Racing at Racetrack which originally issued the ejection and setting forth, in detail, the grounds for the request for reconsideration.

Any review shall not be considered until a minimum of one (1) year has elapsed since the last review of such ejection. Racetrack is under no obligation to grant a review or reconsider a prior ejection.

HCPNRC PROPERTY GUIDELINES



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Contact Information

Mailing Address: Hollywood Casino at Penn National Race Course

777 Hollywood Blvd. Grantville, PA 17028

Main Phone: 717-469-2211

Website: www. hcpn.com

2017 Race Dates: January 4 – December 30

Post Times: 6:00 PM

Racing Office Number: 800-233-8238 Entries Only, Fax: 717-469-0423

Stewards Office: 717-469-3231 Fax: 717-469-8518

 Stable Gate:
 717-469-3285

 Test Barn:
 717-469-3243

 Horse ID:
 717-469-3304

 Results Line:
 717-469-0921

Horsemen's Bookkeeper: 717-469-3232 Fax: 717-469-3386 Racing Commission on site: 717-469-3222 Fax: 717-469-1446

Pennsylvania State Racing Commission

The Honorable Tom Wolf Governor

Russell Redding Secretary of Agriculture

Salvatore M. DeBunda

Dr. John Egloff

Commissioner

Thomas Jay Ellis

Russell B. Jones

C. Edward Rogers

Michele C. Ruddy

Dr. Corinne R. Sweeney

Commissioner

Commissioner

Commissioner

Commissioner

Commissioner

Charles Anderson Commission Track Manager

Pennsylvania Department of Agriculture:

Horse Racing Commission: 2301 North Cameron Street 3rd Floor – Room 304 Harrisburg, PA 17110-9408

PH: 717-787-1942 Fax: 717-787-2271

Hollywood Casino at Penn National Race Course Racing Officials and Personnel

Jay Snowden Chief Operating Officer, PNGI

John Finamore Sr. Vice President, Regional Operations, PNGI

Christopher McErlean Corporate Vice President Racing, PNGI

Eric Johnston Director of Racing Operations

Robert Campbell Chief State Steward

Thomas Crouse State Steward
Rick Keller State Steward

Jenny Bowman Racing Secretary

Allen Firestine Assistant Racing Secretary

Jake Leitzel Manager of Racetrack Maintenance

Jerry Pack DVM Track Veterinarian

John Bogar Announcer and Program Handicapper

Harry Gleed Racing Official
Kemer Runkle Racing Official
Rudy Aviles Racing Official
Mike Muzzy Racing Official
Harry Albanese Racing Official

Arthur Muzzy Clerk of Scales

Daniel Henry Horse Identifier

Lyndell Riggs Starter

Maribeth Riggs Horsemen's Bookkeeper

John Murray Stable Manager

David Marshall PA. State Veterinarian

Horsemen's Group and Associations

Penn National HBPA

P.O. Box 88 Grantville, PA 17028 717-469-2970 Fax:717-469-7714 pahbpa1@yahoo.com

Sandee Martin, President
Todd Mostoller, Executive Director
Jose Martinez, Associate Executive Director
Kelly Smith, Administrative Assistant

Owners/Directors

Kenneth Bowman
Thomas McClay
Vickie Nightingale
Ken Russell
Harry Weisleder

Trainers/Directors

Gary Craig
Clovis Crane
Tim Hooper
Tom Lingenfelter
Flint Stites

** For information on thoroughbred adoption and aftercare, please contact the Penn National HBPA**

LOCAL AIRPORTS

Harrisburg International Airport
Harrisburg, PA

Lehigh Valley International Airport
Allentown, PA

Baltimore Washington International Airport
Baltimore, MD

Philadelphia International Airport

125 miles

DIRECTIONS

Philadelphia, PA

From Harrisburg, Pennsylvania Area (approximately 15 miles):

Take Interstate 81 North to Exit 80 (PA-743), Grantville. Take a left off the exit ramp. Go 1 mile, entrance to Hollywood Casino at Penn National Race Course on the right.

From Allentown, Pennsylvania Area (approximately 70 miles):
Take Interstate 78 West until merging with Interstate 81
South. Take Exit 80 (PA-743), Grantville. Take a right off the exit ramp. Go 1 mile, entrance to Hollywood Casino at Penn National Race Course on the right.

From Baltimore, Maryland Area (approximately 95 miles):
Take Interstate 83 North merge on to Interstate 81 North
via Exit 51B toward I-78 Hazleton/Allentown. Take Exit 80
(PA-743) Grantville. Take a left off the exit ramp. Go 1 mile,
entrance to Hollywood Casino at Penn National Race Course
on the right.

From Philadelphia, Pennsylvania Area (approximately 125 miles):
Take I-76 West towards Valley Forge. Take the PA-72 exit,
EXIT 266 toward Lebanon/Lancaster. Continue to follow
PA-72N. Stay straight onto US 322W. Turn right onto PA
934N. Merge onto I-81S. Take Exit 80 toward PA-743/
Grantville/Hershey. Bear right off the exit ramp. Go 1 mile,
entrance to Hollywood Casino at Penn National Race Course
on the right.

LODGING AND RESTAURANT INFORMATION

Lodging Information:

Hotel	City	Distance to track	Phone Number
Holiday Inn	Grantville, PA	1 mile	717-469-1554
Hampton Inn	Grantville, PA	1 ½ miles	717-469-7689
Comfort Suites	Grantville, PA	1 mile	717-469-8181
Mainstay Hotels	Grantville, PA	2 miles	717-469-4281
Hershey Lodge	Hershey, PA	8 miles	717-533-0313

Restaurant Information – Hollywood Casino at Penn National Race Course:

Final Cut Steakhouse – 717-469-3090 for reservations; Must be 21 or older

Mountainview Trackside Dining – 717-469-3555 for reservations; families welcome

Skybox Sports Bar – 717-469-3274 for reservations; families welcome

Epic Buffet – no reservations needed; Must be 21 or older

Casual Dining Options as follows; Must be 21 or older:

Glitterati's Pizza, Celebrity Grill, and Hollywood & Grind Coffee Bar

Racing Concessions as follows; families welcome:

Paddock Café, Mane Event Express, Homestretch Pub & Deli

Local Restaurants	City	Distance to Track	Phone Number
Fabio's Restaurant	Grantville	2 miles	717-469-0709
Italian Delight	Grantville	1 mile	717-469-2800
Perkins	Harrisburg	5.5 miles	717-545-5650
Shakedown BBQ	Grantville	1.5 miles	717-469-4167

General Access Rules

All individuals are to present a valid Pennsylvania State Horse Racing Commission license for admittance to the Hollywood Casino at Penn National Race Course (HCPNRC) stable area, and display the license at all times while on the grounds of HCPNRC. Minors must be accompanied by an adult occupational permit holder while in the stable area and under their supervision at all times. Parents or Guardians accept all responsibility and liability for minors on the grounds at all times. Minors may not enter restricted areas. While minors are permitted in the stable area for visitation purposes on a temporary basis, the stable area is not to be used as a day care alternative. Minors must be under the constant supervision of a parent or guardian and not left in a tack room or stall while the parent or guardian is working or caring for horses.

All horsemen participating at HCPNRC must familiarize themselves with the Pennsylvania Rules of Racing document available at http://www.pacode.com/secure/data/058/chapter163/chap163toc.html

All horsemen participating at HCPNRC must familiarize themselves and abide by the guidelines set forth on the HCPNRC Stall Application and the Penn National Gaming Inc. Racing Guide

All Medication Rules and Guidelines are under the jurisdiction of the Pennsylvania Horse Racing Commission.

Proof of a negative Coggins must be on file to be able to run. Coggins are good for one year from the date reported out. All horses at Penn National must have a Coggins test stating the date reported out within the same calendar year as the year in which they race and compete. All Coggins must be dated 2016 in order to race and compete. Horses will be given a one race grace period if shipping from within the State of Pennsylvania until January 31, 2016. Coggins tests dated within a valid year period are good to enter the stable area. All shippers must present Coggins at stable gate upon arrival.

All horses that are housed in the HCPNRC barn area for more than 30 days must have an updated Rabies vaccination in order to be eligible to race. Ponies must also be vaccinated. Trainers should coordinate with their backside

veterinarian to receive the mandatory Rabies vaccine. New horses shipping in to HCPNRC must be vaccinated for Rabies within 30 days of when they arrive.

In addition to having a valid health certificate and negative Coggins Test, any horses shipping from Texas into Pennsylvania, must have a negative piroplasmosis test done within thirty (30) days of arriving in Pennsylvania.

All "Work & Go" horses must report to the Receiving Barn and check in with Security prior to training, and must leave Penn National Race Course grounds at the designated time. Two year old work & go horses will be permitted after March 1.

All "Race & Go" horses must report to Security for stall assignment. All horses must leave one (1) hour after the last race is complete, unless permission is received from the Racing Secretary's office.

All "Ship Ins" must have a Permission Slip (In Slip) to enter Penn National Race Course grounds. No Exceptions. All In Slips must be obtained from the racing office during racing office hours, or under special circumstances with approval of the Racing Secretary.

No cloved-hoof animals are permitted on the grounds of Penn national Race Course. No yearlings are allowed to occupy stalls of trainers who have been allocated stalls on the grounds. Two year olds shall be permitted on the grounds after March 22 of their 2 year old year only with Race Office approval and they must be named and have their foal papers and Coggins on file in the Racing office.

During random inspections, employees of the Racing Office may flip lips to verify horses' identities. Anyone found submitting false information on in slips or any other documentation will be subject to penalties.

All Race and Returns, Vet and Returns, and newly acquired horses must have in slips from the Racing Office.

Safety Rules

Access to sprinkler rooms and fire extinguishers may not be blocked

There shall be NO SMOKING in the barns, stalls, shed rows, tack rooms, feed sheds or dormitory.

The use, distribution or possession of alcohol or illegal drugs is not permitted at any time on HCPNRC grounds.

Storage of containers of flammable materials, (gasoline, kerosene, propane, etc.) or containers which formerly held flammable material is prohibited.

Heat Lamps are not permitted in the barns

Submersible heaters used for cooking or feed or heating of water are not permitted in any area.

No articles are permitted on top of or around hot water heaters and near tack room heaters.

Cooking equipment is not permitted. (microwaves, ovens, toaster ovens, hot plates, grills, etc.)

Approved Safety helmets and vests must be worn by anyone on horseback while on HCPNRC grounds.

No Dogs are permitted in the stable area.

Vehicles

Any vehicle operated on the grounds or in the stable area must be properly registered and insured. No person shall operate any vehicle without a valid driver's license. Any vehicle not displaying proper registration or apparently abandoned shall be towed at owner's expense.

The Stable Area speed limit is 10 MPH.

Motorcycles and ATV's shall not be permitted in the stable area at any time.

No vehicles of any type shall be driven on horse paths except for track maintenance equipment.

No parking in restricted areas or working on vehicles in the stable area. Vehicles found parked outside designated parking areas will be ticketed and may be towed at the owner's expense.

At all times, horse trailers must be parked in designated areas. They must be at least (three) 3 feet from fences or roads and ten (10) feet from structures. They may be unhooked at that location only. Trailers must remain hooked in all other areas.

Security reserves the right to tow any vehicles not in compliance with these rules at the owner's expense.

Washing of vehicles is prohibited in the barn area.

The following are policies with respect to trailers and trailer parking:

a) All owners parking vehicles/trailers on Penn National Race Course property must show proof of registration and insurance.

- b) All trailers must have valid license plates on them.
- A parking permit sticker will be issued to all trailers meeting the above requirements.
- d) No parking permits will be issued for any campers, RV's or mobile homes
- e) All permits are issued at the discretion of Penn National Race Course and may be revoked at any time.

- f) Trailers must not obstruct any path for moving vehicles.
- g) No trailers can be used to dump garbage, debris or other materials.
- h) Violators of any of these policies will be towed.

installation and use.

Miscellaneous Stabling Guidelines

Any alterations to existing structures (barns, tack rooms, electrical, plumbing grounds or walker pads) must be approved in writing by management.

Feed sheds are for the storage of feed, hay, straw and race related items only. Storage of personnel belongings and/or sleeping in feed sheds or tack rooms is prohibited. All fans and heaters must be approved by HCPNRC management before their

- a) All fans must be UL approved with a 3-prong style grounded cord
- b) Extension cords, including electrical fan cords, must be in good and safe condition with no splicing or taping allowed.
- Electrical fans must have a cage or screen type cover in place over the fan blades at all times and must be kept in working order with no loose parts.
- d) The use of electrical tape on outlets is strictly prohibited.
- e) Any unsafe fans or cords will be collected and disposed of by HCPNRC management.

Tack rooms are subject to random search and safety inspections.

STRAW is the ONLY acceptable bedding material permitted on the grounds of HCPNRC All straw and manure is to be placed in the manure dumpsters only. Do not place any trash in the manure dumpsters.

- a) Manure when placed in the dumpster shall be pushed to its highest front point in the dumpster.
- b) Areas around the dumpsters are to be cleaned and maintained by stable/stall personnel.

Trash dumpsters are for barn area trash only. No person shall bring trash from off site to be disposed of in the trash dumpsters.

Deliveries by vendors shall not be delivered until after training hours and only up until (1) one hour before post time for the first race. Vendors are responsible for cleaning up areas before they leave. Management reserves the right to bar materials it determines to be unsuitable. All vendors entering the property of HCPNRC must meet the Insurance requirements of HCPNRC.

All water faucets must be turned off after use and hoses disconnected.

The use of Hot Walkers and their location must be approved by management prior to installation.

If stabled in any newly constructed barns, these guidelines are in effect:

- a) Wash racks must be used
- b) No signs can be hung or attached on the outside of the barn
- c) No nails can be imbedded in the metal siding.
- d) No shelving shall be attached to the walls.
- e) No Laundry Lines
- f) No flower beds
- g) No milk crates can be hung up on the walls if using a milk crate it must be taken down and put away when finished using it.
- h) Wall boxes on the posts only.
- i) Hay racks are not to be put by the light switches
- j) Keep barn area clean at all times.

Personal Conduct

As stated in Section 1.2 of the Racing Guide, all individuals on HCPNRC grounds must abide by the lawful direction of all racetrack personnel.

Any violation of the Pennsylvania Racing Statutes and/or the Rules and Regulations of the Pennsylvania Racing Commission will be reported to the Board of Stewards.

HCPNRC expects all trainers who have stabling privileges on the grounds to maintain their area in a clean, safe and professional manner. Barn inspections will be done on a consistent basis by HCPNRC management to ensure that this request is being adhered to. Anyone found in violation will be given a

designated period of time to correct the situation. Failure to do so will result in sanctions up to and including loss of stabling privileges.

No firearms, explosive materials, fireworks, ammunition, and/or other type of weapons are permitted in the HCPNRC Stable Area at any time. Infractions of this rule will result in IMMEDIATE suspension of Stable Area privileges.

Any unprofessional and/or disruptive behavior will result in IMMEDIATE SANCTIONS which may include suspension of Stable Area privileges.

Racing Guidelines

Paddock schooling is available all mornings; anyone wishing to school at night during live racing must get permission from the Racing Office prior to schooling.

Division of Purses

All overnight purses will be subject to the following purse distribution.

1st	2nd	3rd	4th	5th	6th and down
60%	20%	11%	6%	3%	\$200.00

Racing Office Hours (subject to change with live racing days - please consult your current condition book)

The following shall be considered normal business hours:

Sun	Mon	Tues	Wed	Thurs	Fri	Sat
Closed	Closed	8:30am-1:00pm	8:30am-1:00pm	8:30am-1:00pm	8:30am-1:00pm	8:30am-1:00pm
			5:00pm-9:30pm	5:00pm-9:30pm	5:00pm-9:30pm	5:00pm-9:30pm

<u>Closing of Entries</u> (Subject to change with live racing days – please consult your current condition book)

Unless otherwise indicated in the condition book or on the overnight, entries close at 10:30 AM on the following entry schedule:

Friday for Wednesday – Saturday for Thursday Wednesday for Friday – Thursday for Saturday

Certain races may be kept open or changed after 10:30 AM at the Racing Secretary's discretion.

Races announced as "off" by the Racing Secretary means the race will not be carded for that race day regardless of entries that may come in.

No entries will be accepted for and no stalls will be allocated to:

- a) Horses which have not finished 1st, 2nd, or 3rd for \$4,000 or more since starting for less than \$4,000 will not be eligible
- b) Maidens which have not finished 2nd, 3rd, or 4th for \$5,000 or more, since starting for less than \$5,000
- c) PNRC Management reserves the right to refuse entry of any poor performing horse. If a horse is deemed to be poor performing, that horse will not be eligible to be stabled on the grounds of PNRC.
- d) Maidens older than 6 years old will not be eligible to race

Any trainer wishing to scratch part of an entry must do so one (1) hour prior to post time for the 1st race through the Stewards.

Trainer scratching horses out of the body of a race with a vet scratch will be on the list for a minimum of 10 days. The horse will be eligible to run on the 11th day.

Riders must be named at the time of entry. Riders not named will be assigned by the Stewards.

The Cornell Collar is prohibited from use at HCPNRC.

Preference Dates

- a) All Horses are entered to run No Date only entries
- b) Preference Dates are good for any type of race or distance. Preference Dates will not supersede race conditions or Preference on any race such as; condition eligibility, winner's preference, PA. Bred preference or any other race condition or preference written for any race.
- c) Horses will not be eligible to receive a date until their Foal Certificate is on file in the Racing Office.

- d) Under the preference date system, a horse will receive either an Entry Date (Dirt), Entry Date (Turf), or a Running Date. Preference will be given to the horse with the earliest date. An Entry Date takes preference over a running date of the same day. Horses having identical dates will be chosen by lot. Horses running on the main track will retain their turf date.
- e) Horsemen can establish a date by turning in their foal certificate with the Racing Office in which case the horse will be given an E-Date corresponding to the date the foal certificate is registered.
- f) A running date is established when a horse drawn into the body of a race starts.
- g) Horses that had the opportunity to run and scratched for any reason will receive an "S" date for that day.
- h) In all turf races that are switched to the Main track; all starters will receive a "Z" date. "Z" dates will have first preference.
- Not more than one horse owned by the same person shall be drawn into any overnight race to the exclusion of a single interest. Any trainer entering a same owner entry in an overnight race must prefer the horse with the best date.
- j) Horses with established dates that enter and do not get in will retain their previous date.
- k) All horses placed on a list other than the claiming list will lose their date and will be given an "R" Date corresponding to the day they come off the List.
- l) Horses will lose their date if their foal certificate is withdrawn from the Racing Office or if they race elsewhere.
- m) When a horse's name appears in a race, other than a Sweepstakes and is entered for the following day, such entry will be given no consideration.
- n) In all matters, the Stewards ruling is final.

SHOEING POLICY

The PA Racing Commission has prohibited the use of turned down and bend shoes at all PA tracks. In addition, the use of jar caulks, stickers, traction nails, or any other traction device that extends below the ground bearing plane of the shoe is prohibited on front shoes for training and racing.

The use of toe grabs in excess of 4 millimeters in height on front shoes and 6 millimeters in height on hind shoes will not be permitted.

Turf Course

In an effort to preserve the turf course, no shoes with caulks, raised toes, mud nails, or bent shoes will be allowed. This will be strictly enforced. Only flat, queen's plate, queen's plate xt, silver queen's and factory wedge will be allowed on all four feet.

Hollywood Casino at Penn National Race Course Workout Rules

First Time Starters: First Time starters must have at least three (3) published works, one of which must be a gate work, to be eligible to run. The gate work must be within 45 days of the day of the race. All three works must be published prior to entry in order for the entry to be accepted. Also, an OK to start card must be presented to the Paddock Judge for First Time starters. **For Horses which have not started in six (6) months or more:** must have at least three (3) published works within 90 days of the race, one of which must be within 30 days of the day of the race, to be eligible to run. Additionally, an Approval to Start ("OK", "6 month") card, dated within 30 days of the race must be presented to the Paddock Judge prior to the race. Of the three (3) works, only one may be after the day of entry and must be received by the Stewards no later than on (1) hour prior to the first (1st) race of the day in which entered.

SHRC rule 163.132 Timed Workouts

A horse which has not started for a period of 30 days or more shall be ineligible to race until it has completed a timed workout satisfactory to the Stewards prior to the day of the race in which it is entered. Any workout following the entry of a horse shall appear on the official daily racing program.

All late works must be received by the Stewards, one (1) hour prior to the published post time for the first (1st) race.

Claiming Rule Revision

In addition to all rules outlined in PA SHRC ss163.251 through 163.261, in order to be eligible to claim, a licensed owner, registered stable, other multiple ownership entity shall designate, as the person who will assume control and responsibility of a claimed horse, a licensed trainer who has been assigned stabling on the grounds at Penn National Race Course. A licensed owner, registered stable, or other multiple ownership entity that does not have a licensed trainer with assigned stabling on grounds at Penn National Race Course is eligible to claim only to replace a horse lost to a claim at Penn National Race Course in the last 180 days prior to the race in which the licensed owner, registered stable or other multiple ownership entity wished to claim from.

Waiver Claiming Rule Revision

"Any horse which has last started for a Claiming Price in a race at Hollywood Casino at Penn National Race Course and has not started in a race for a period of 120 days, may enter for a race with a Claiming Price equal to or greater than the claiming price of the race in which the horse last started, shall be entitled to start without being eligible to be claimed."

Interpretation of Eligibility

- The 120 days start counting on the day after the horse last starts. The horse will be eligible to enter but not race until the 121st day.
- 2. Intent to waive the claiming price must be declared at time of entry. Once the overnight is printed no consideration will be given to waive the claiming price, regardless if by omission or error.
- When a horse enters into a claiming race and waives the claiming eligibility, 3. the horse will be considered as running for the top claiming amount offered for weight consideration.
- When a horse starts in a claiming race, with the claiming eligibility waived, 4. the following considerations shall apply;
 - The race shall be considered a claiming race for future eligibility in allowance and condition claiming races.

- A start in a claiming race with the claiming price waived shall not be considered in determining the horse's eligibility in starter allowance races.
- The horse will be considered as running for the top claiming amount offered for future consideration in allowance races, condition claiming races, weight allowances, start eligibility and race preferences.
- 5. When a horse starts in an Allowance / Optional Claiming race, with the claiming eligibility waived, the race shall be considered an Allowance race for future eligibility and weight allowances.

Hollywood Casino at Penn National Race Course **Track Condition Scratches**

Any horse scratched for "track conditions" will not be allowed to re-enter for (7) seven days if the racetrack is classified as fast at post time for the first race.

Racing soundness inspections

Racing soundness inspections shall be conducted by the PA Racing Commission on horses entered to race. Trainers or their representatives shall make themselves available for the racing soundness inspection. Failure to comply may result in the horse being scratched and disciplinary action taken.

Also eligibles

Effective January 1, 2014 all races to be carded at a distance of one mile on the main track will have a maximum of ten runners in the body of the race and two alsoeligibles. If there are any scratches in the body of the race by 11:00 am, the day of the race, the also-eligibles may draw in post position order. It is the trainers responsibility to contact the stewards to inform them of your intention to run or not to run.

Eligibility after a scratch

The penalty for all horses scratching out of the body of a race will be ten calendar days. The horse will be eligible to run on the eleventh (11th) day.

Foal Papers

Any horse whose foal papers and negative Coggins test are not in the possession of the racing office or horse ID by 5:00 pm the night of the race will be scratched. No exceptions.

Paddock and detention barn access

It is the trainer's responsibility to ensure that only stable employees currently licensed by the Pennsylvania State Horse Racing Commission are allowed with your horse into the state detention barn for post race testing. Day passes issued by security are not accepted as a license. All violators may be subject to a \$100 fine by the stewards.

Anyone wishing to access the paddock when having a horse in a race must present their PSHRC license to paddock security. No exceptions.

Veterinarians

Dr. Kate Baer Primary 717-867-1880

Dr. Allen Bonnell Home 570-386-3651 Cell 603-512-2739 Dr. John Murphy Home 717-865-3024 Cell 717-821-6775

Dr. Nicole Smith Primary 717-867-1880
Dr. Kate Papp Primary 802-238-0094

Emergency/Lasix Vets

Emergency/Night Phone (PA PN Vetline) 717-884-6042

First Equine LLC for Lasix 880-671-1080

**Trainers - please note - Lasix may only be administered by First Equine LLC

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administration by private veterinarians is not permitted **

BACKSTRETCH VENDORS

Feed Companies

Herr's Mill	717-469-0988
Brandt's Feed Mill	717-272-6781
Agway	717-566-1569
Reifsnyders	610-488-0567
Red Barn Equine Outfitters	717-269-3137

Tack Shops

Ross's Tack Shop	717-469-2335
Reifsnyders	610-488-0567
Cross Road Tack Shop	717-228-2502
Red Barn Equine Outfitters	717-269-3137

Walkers

Norman Reagan 717-865-6839

Hay & Straw

 Odell Farm
 717-364-2274

 Norman Reagan
 717-865-6839

PROCEDURES FOR TRAINERS AND THEIR EMPLOYEES TO FOLLOW DURING EVH-1 OUTBREAK

Limit the number of employees in the affected barn to the minimum needed to clean stalls and tend to horses.

Maintain a log of all those employees that enter and leave the affected barn with times recorded.

Use protective equipment as recommended in the Bio-Security Control handout that has been given to you.

DO NOT train affected horses. Keep horses quiet and minimize stress and exercise.

Maintain an exact temperature log for all horses. Report any temperature increases to your Veterinarian or Track Veterinarian immediately.

Keep all affected horses and equipment separated. Disinfect all equipment between uses.

Keep all manure in supplied covered receptacles.

Keep all areas disinfected with disinfectant recommended by Track Veterinarian.

When work in barn is completed go to an area where you can shower and change clothing. DO NOT go to any area that has horses housed in it.

Communicate all questions and concerns with Track Veterinarian, Practicing Veterinarian or Track Management.

Follow additional AAEP Bio-Security measures recommended in handout supplied by HCPNRC.

REGISTERED PENNSYLVANIA-BREDS RACING AT PENN NATIONAL

With the active support of the Pennsylvania Division of the HBPA, the overnight racing program for registered Pennsylvania –Breds has been expanded for 2016 to reflect the following:

PA-Bred entry preference will apply to all overnight races, and within the conditions of the race

The PA-Bred owner bonus will be paid in all overnight races, other than those detailed below.

PA-Bred owner bonus percentage will be 20% of purse share for 1st, 2nd, and 3rd place finishes.

Open races closing when eight (8) or more PA-Breds pass the entry box will also be offered a PA-Bred bonus. Purses for these races will be equal to the corresponding open race.

Races restricted to PA-Breds will be written regularly to a schedule that includes allowance races, maiden special weights, maiden or claiming \$15,000, and maiden or claiming \$7,500.

PA-Bred owner bonuses will not be offered on races written as restricted to PA-Breds.

These provisions will remain in effect until further notice.

Any questions or comments regarding registration status, or the details of the Pennsylvania-Bred program at Penn National may be directed to:

PENNSYLVANIA HORSE BREEDERS ASSOCIATION

701 E. Baltimore Pike, Suite E Kennett Square, PA 19348 610-444-1050 Fax 610-444-1051 www.execsec@pabred.com

DORM ROOM POLICY

- Beds in the dormitory shall be assigned to the trainer for the use of the groom(s) in
 his or her employ. Beds will only be assigned to trainers who are licensed in good
 standing by the PA Racing Commission and have stalls assigned. Loss of stalls by
 the trainer will result in the immediate loss of dorm privileges without refund of
 any unused portion of rent for the month.
- A \$100 Deposit will be required from the Trainer to cover any theft or damage to the rooms. No deposit will be returned until the room is inspected by authorized Hollywood Casino at Penn National Race Course (HCPN) personnel. The cost of replacement property will be deducted from the deposit.
- 3. A monthly rent of \$150.00 per bed will be charged to the assigned trainer and will be automatically deducted at the beginning of each month from the trainer's horsemen's bookkeeper's account. (Trainers are responsible for these rooms). No Trainers will be allotted bed space for anyone other than grooms in their employ.
- 4. Grooms must conduct themselves in a sober and respectable manner at all times. No Alcohol is permitted in the Grooms Quarters. Drinking parties are not permitted. Grooms under the influence of alcohol will be issued citation and the Trainer will be contacted. Such disregard of HCPN rules may result in immediate loss of room.

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- 5. Anyone caught using or in possession of illegal drugs will be immediately escorted off of property.
- 6. No more than two (2) Grooms will be assigned to any room at one time. No one under the age of eighteen (18) will be permitted a bed. Only individuals assigned a bed in the dormitory will be permitted in the building. Trainers who have acquired rooms for Grooms will be permitted in the common area.
- Smoking in this building is prohibited. Smoking permitted outside in authorized areas only.
- 8. The driving of nails or hooks in the walls or doors or altering the rooms shall be prohibited.
- 9. No pets permitted.
- 10. Only locks provided by HCPN shall be permitted to secure the rooms.
- 11. Rooms must be kept clean and orderly at all times.
- 12. Excessive noise will not be tolerated at any time in the rooms.
- 13. All rooms are subject to Fire/Safety inspections and Cleanliness/Security inspections at anytime and without prior notice.
- 14. The following appliances and electrical devices shall be prohibited; washers, dryers, stoves, ovens, refrigerators greater than 2 cubic feet, frying pans, gas or charcoal grills, hot plates or any other cooking devices. Appliances such as small radios, clocks, small refrigerators, small microwaves and small TVs are permitted. All extension cords and surge protectors must be "UL" rated heavy duty, 3-prong grounded type. No light bulbs over 60 watts are to be used in personal lamps.
 No heat lamps. All electrical items are subject to inspection by the Fire Marshal.
- 15. No source of open flames in rooms. This includes candles of any kind.
- 16. Any damage to, theft of or tampering with Track property must be reported to Track Security and/or HCPN Management.

17. There is a \$25 charge for lost keys or swipe cards. **Violation of any of the above rules may result in the loss of bed.**



2017

Penn National Gaming Racing Challenge Rules

 The Penn National Gaming Racing Challenge (the "Challenge") includes the following unrestricted stakes on one of the seven (7) event days held at PNGI tracks in 2017:

3&up Turf Route	Tuesto	3&up Turf Sprint	Tuesdo
Race	<u>Track</u>	Race	<u>Track</u>
Penn Mile (G2)	PEN	Frontier Utilities Turf Sprint	HOU
John Connally Turf Cup (G3)*	HOU	Penn. Governor's Cup	PEN
3&up Dirt Sprint		3&up Filly & Mare Dirt Sprint	
Race	Track	Race	Track
	HOU		CT
Allen's Landing		Sugar Maple	
R. Hilton Memorial	CT	Charles Town Oaks (G3)	CT
Russell Road S.	CT	Pink Ribbon S.	CT
Steel Valley Sprint	MVR	Hollywood Mahoning Distaff	MVR
Fabulous Strike S.	PEN	Zia Park Distaff	ZIA
20 Fills 9 Mans Dist Day	.4.	28 Dist Danta	
3&up Filly & Mare Dirt Ro	ute	3&up Dirt Route	
<u>Race</u>	<u>Track</u>	<u>Race</u>	<u>Track</u>
Charles Town Oaks (G3)	CT	Charles Town Classic (G2)	CT
Zia Park Oaks	ZIA	Mountainview S.	PEN
Houston Ladies Classic*	HOU	Zia Park Distance Championship	ZIA
		Swatara S.	PEN

^{*} The tie-ins for the Penn Mile and Charles Town and Zia Park Oaks would be with the 2018 John Connally Turf Cup and Houston Ladies Classic

- Horses that finish a race within one division will automatically be nominated free of charge to the subsequent races in that same division in the 2017 Challenge or as outlined in the conditions of the race.
- Horses finishing a designated race in the series will have entry and starting fees (excluding supplemental fees) for a subsequent race in the 2017 Challenge refunded upon starting in the subsequent race as indicated in the conditions of the race. Subsequent races at Penn National are not included for purposes of starting or entry fee refunds.
- For the Charles Town Classic, any horse with a North American, Asian or European Grade 1 win in the preceding 2 years will have their entry and starting fees refunded upon starting as indicated in the conditions of the race.
- For all other Challenge races carrying a base purse of \$100,000 or more, horses with any North American, Asian or European graded or group win in the 2 years preceding the race will have their entry and starting fees refunded upon starting as indicated in the conditions of the race. Races at Penn National are not included for purposes of entry or starting fee refunds.
- For each horse an owner or trainer starts or a jockey rides in one of the 2017 Challenge races, they will receive two (2) points.

 In addition to the points received for participating, additional points will be awarded to the owner, trainer and jockey finishing in the Top 5 of their respective race in the following fashion:

	Grado 1	Grade 2	Grado 3	UNG	UNG	UNG	UNG
	Grade i	Graue 2	Graue 3	\$200k+	\$100k-199k	\$75k-99k	\$50k-74k
1st	30	25	20	20	15	10	5
2nd	24	20	16	16	12	8	4
3rd	18	15	12	12	9	6	3
4th	12	10	8	8	6	4	2
5th	6	5	4	4	3	2	1

- Dead heats for one of the top five awarded positions will be split the same manner the purse would be split (e.g. two horses finishing in a dead heat for 4th in a G3 would each receive 6 points)
- For horses owned in partnership or by multiple entities, only the
 principal owner can be awarded points. No legal entities including, but
 not limited to, corporations, limited partnerships or limited liability
 companies are eligible to accumulate points. With respect to the
 Challenge, the term "owner", should be taken to mean the individual
 who is the principle or primary owner.
- Should no primary owner be declared on the program, no points will be awarded should that horse finish in an awarded position

- Any ties for the top spot in any of the three Challenge categories will be broken by total earnings in series races.
- The owner, trainer and jockey having accumulated the most points at the end of the 2017 Challenge will receive: (1) Two (2) round-trip coach airfare tickets emanating from within the 48-continguous states; (2) a three (3) night stay at either Penn National Gaming's M Resort or Tropicana in Las Vegas; and (3) transfers between the M Resort/Tropicana and Las Vegas airport.
- The airline tickets and hotel room are not transferrable.
- Hotel rooms at the M Resort and Tropicana are subject to availability at the time of travel
- Travel must be completed prior to December 31, 2018
- The owner and trainer accumulating the most points at the end of the 2017 Challenge will be entitled to a refund of their entry and starting fees (excluding supplemental fees) upon a horse they train or are the principal owner of starting in any unrestricted stakes race at Sam Houston, Charles Town, Mahoning Valley and Zia Park for calendar year 2017, provided that horse made its last start in the name of that principal owner or trainer.
- All stakes races listed as part of the Challenge are subject to approval by the appropriate jurisdiction and may be cancelled or altered by Penn National Gaming
- All rulings, determinations and interpretations of Challenge rules will be made by Penn National Gaming and are not subject to appeal

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All Races – Post Time is 6:00 PM							
January	Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6	7
	8	9	10	11	12	13	14
	15	16	17	18	19	20	21
	22	23	24	25	26	27	28
	29	30	31				
February	Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3	4
	5	6	7	8	9	10	11
	12	13	14	15	16	17	18
	19	20	21	22	23	24	25
	26	27	28				
March	Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3	4
	5	6	7	8	9	10	11
	12	13	14	15	16	17	18
	19	20	21	22	23	24	25
1							
	26	28	28	29	30	31	
April	Sun	28 Mon	28 Tue	29 Wed	30 Thu	31 Fri	Sat
April				Wed		Fri	1
April	Sun 2						
April	Sun 2 9	Mon	Tue	Wed	Thu	Fri	1
April	Sun 2	Mon 3	Tue 4	Wed 5	Thu 6	Fri 7	1 8
April	Sun 2 9	Mon 3 10	Tue 4 11	Wed 5 12	Thu 6 13	7 14	1 8 15
	Sun 2 9 16	Mon 3 10 17	4 11 18 25	5 12 19 26	Thu 6 13 20 27	7 14 21	1 8 15 22
April	9 16 23	Mon 3 10 17	Tue 4 11 18	Wed 5 12 19	Thu 6 13 20	7 14 21 28 Fri	1 8 15 22
	2 9 16 23 30 Sun	3 10 17 24	Tue 4 11 18 25 Tue 2	5 12 19 26	Thu 6 13 20 27 Thu 4	Fri 7 14 21 28 Fri 5	1 8 15 22 29 Sat 6
	9 16 23 30 Sun 7	Mon 3 10 17 24 Mon 1 8	11 18 25 Tue 2	5 12 19 26 Wed 3 10	Thu 6 13 20 27 Thu 4 11	Fri 7 14 21 28 Fri 5 12	1 8 15 22 29 Sat 6 13
	2 9 16 23 30 Sun 7 14	Mon 3 10 17 24 Mon 1 8 15	Tue 4 11 18 25 Tue 2 9 16	Wed 5 12 19 26 Wed 3 10 17	Thu 6 13 20 27 Thu 4 11 18	Fri 7 14 21 28 Fri 5 12 19	1 8 15 22 29 Sat 6 13
	9 16 23 30 Sun 7 14 21	Mon 3 10 17 24 Mon 1 8	11 18 25 Tue 2	Wed 5 12 19 26 Wed 3 10 17 24	Thu 6 13 20 27 Thu 4 11	Fri 7 14 21 28 Fri 5 12	1 8 15 22 29 Sat 6
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	9 16 23 30 Sun 7 14 21	Mon 3 10 17 24 Mon 1 8 15 22	Tue 4 11 18 25 Tue 2 9 16 23	Wed 5 12 19 26 Wed 3 10 17 24	Thu 6 13 20 27 Thu 4 11 18 25	Fri 7 14 21 28 Fri 5 12 19 26 Fri	1 8 15 22 29 Sat 6 13 20 27
May	\$un 2 9 16 23 30 \$un 7 14 21 28	Mon 3 10 17 24 Mon 1 8 15 22 29 Mon	Tue 4 11 18 25 Tue 2 9 16 23 30	Wed 5 12 19 26 Wed 3 10 17 24 31 Wed	Thu 6 13 20 27 Thu 4 11 18 25 Thu 1	Fri 7 14 21 28 Fri 12 19 26 Fri 2	1 8 15 22 29 Sat 6 13 20 27 Sat 3
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All Races – Post Time is 6:00 PM												
July	Sun	Mon	Tue	Wed	Thu	Fri	Sat					
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	16	17	18	19	20	21	22					
	23	24	25	26	27	28	29					
	30	31										
August	Sun	Mon	Tue	Wed	Thu	Fri	Sat					
			1	2	3	4	5					
	6	7	8	9	10	11	12					
	13	14	15	16	17	18	19					
	20	21	22	23	24	25	26					
	27	28	29	30	31							
September	Sun	Mon	Tue	Wed	Thu	Fri	Sat					
						1	2					
	3	4	5	6	7	8	9					
	10	11	12	13	14	15	16					
	17	18	19	20	21	22	23					
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	24	25	26	27	28	29	30					
October	Sun	Mon 25	Tue	Wed	Thu	Fri	Sat					
October												
October	Sun	Mon	Tue	Wed	Thu	Fri	Sat					
October	Sun 1	Mon 2	Tue 3	Wed 4	Thu 5	Fri 6	Sat 7					
October	Sun 1 8	Mon 2 9	Tue 3 10	Wed 4 11	Thu 5 12	Fri 6 13	Sat 7 14					
October	Sun 1 8 15	Mon 2 9 16	Tue 3 10 17	Wed 4 11 18	Thu 5 12 19	Fri 6 13 20	Sat 7 14 21					
October	\$un 1 8 15 22	Mon 2 9 16 23	Tue 3 10 17 24	Wed 4 11 18	Thu 5 12 19 26	Fri 6 13 20	Sat 7 14 21					
October	\$un 1 8 15 22	Mon 2 9 16 23	Tue 3 10 17 24	Wed 4 11 18	Thu 5 12 19	Fri 6 13 20	Sat 7 14 21					
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November	\$un 1 8 15 22 29 \$un 5 12 19 26 \$un 3	Mon 2 9 16 23 30 Mon 6 13 20 27 Mon 4	Tue 3 10 17 24 31 Tue 7 14 21 28 Tue 5	Wed 4 11 18 25 Wed 1 8 15 22 29 Wed 6	Thu 5 12 19 26 Thu 2 9 16 23 30 Thu 7	Fri 6 13 20 27 Fri 3 10 17 24 Fri 8	Sat 7 14 21 28 Sat 4 11 18 25 Sat 2 9					

PENN NATIONAL 2017 OPEN COMPANY STAKES SCHEDULE

<u>DATE</u>	<u>RACE</u>	<u>PURSE</u>	<u>SEX</u>	<u>AGE</u>	DISTANCE	NOMS CLOSE*
June 3	Lyphard (Pennsylvania Bred)	\$100,000	F&M	3YO+	1 1/16 Miles (T)	May 24
June 3	Danzig Stakes (Pennsylvania Bred)	\$100,000	Open	3ҮО	6F	May 24
June 3	New Start Stakes (Pennsylvania Bred)	\$100,000	Fillies	3YO+	6F	May 24
June 3	Penn Mile (Grade 2)	\$500,000	Open	3YO	1 Mile (Turf)	May 24
June 3	Penn Oaks	\$200,000	Fillies	3YO	1 Mile (Turf)	May 24
June 3	Mountainview Stakes	\$200,000	Open	3YO+	1 1/8 Miles	May 24
June 3	PA Governor's Cup	\$200,000	Open	3YO+	5F (Turf)	May 24
August 19	Robellino (Pennsylvania Breds)	\$100,000	Open	3YO+	1 1/16 Miles (T)	August 9
November 23	Fabulous Strike Stakes	\$200,000	Open	3YO+	6F	November 8
November 23	Sawatara Stakes	\$100,000	Open	3YO+	1 1/16 Miles	November 8
November 23	Blue Mountain (Pennsylvania Breds)	\$100,000	Filles	2YO	6F	November 8

*No Nomination Fees

The following documents are part of the Guide and are available to download at: www.hcpn.com/racing/horsemen's info

- The HCPNRC Horsemen's Account Disbursement Authorization
- THE Early Purse Release Agreement
- W-9 Request for Taxpayer Identification Number and Certification
- The Vendor Insurance Requirements Document
- The HCPNRC Track Notification form
- Horsemen's Bookkeeper FAQ's

^{*}All Starters Will Receive Their Entry and Starting Fees Back